The Cost of QoS Support in Edge Devices: An Experimental Study

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Abstract

This paper investigates the problem of making QoS guarantees available in access devices such as edge routers, that are commonly deployed in today's IP networks. The introduction of new capabilities such as QoS needs to take place, at least initially, within the constraints imposed by the limitations and the architecture of these existing systems. It is, therefore, important to identify possible solutions that can deliver the desired functional enhancements without impacting performance or requiring major upgrades. In this paper, we propose a specific design approach which we explore by carrying out a complete implementation, whose performance we then evaluate in the context of an experimental testbed. The results of our experiments indicate that a reasonable level of service differentiation, i.e., rate and delay guarantees, can be provided with a minimal impact on the raw packet forwarding performance of edge devices. This provides some initial evidences that as the Internet backbone is upgraded to support QoS guarantees, a similar and necessary enhancement can also be implemented rapidly and effectively at the edge of the backbone.

1 Introduction

Quality-of-Service (QoS) is one of the next challenges that the Internet faces, and there are numerous standard activities and new technologies that are being developed to help QoS become a reality. Most of the work so far has focused on developing powerful mechanisms and algorithms that scale to the ever increasing speed of the Internet backbone, while also enabling the wide range of QoS guarantees that both emerging and existing applications are expected to require. These efforts have been successful at removing most of the technical hurdles to making the Internet backbone QoS capable. However, introducing the capabilities required to support QoS in the Internet infrastructure represents only half the problem. Another key component is to enable users and applications to access these new capabilities. That this is in itself a difficult task is by now well understood, and has often been quoted as one of the main reasons for the relatively slow deployment of QoS.

In particular, many users and applications lack the ability, or understanding, or both, necessary to determine the exact level of QoS they need and should require from the network. Even assuming the ubiquity

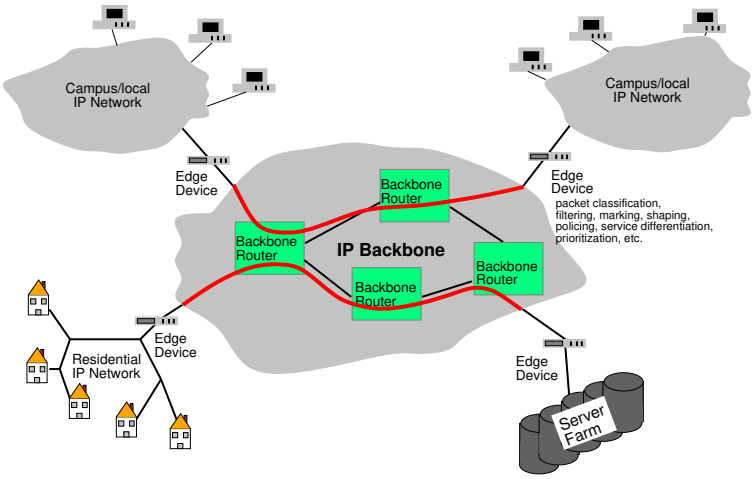


Figure 1: Possible Scenario for QoS Deployment Over IP Networks.

of a signalling protocol such as RSVP [1], which is by now becoming available as part of most operating systems, it is unlikely that many applications will be capable of leveraging this new capability, at least not initially. Instead, appropriately mapping user traffic onto available network QoS services is likely to be the responsibility of edge devices, which will be configured according to various administrative, policy, and user specific criteria. In addition, even as users and applications become more QoS aware and capable of specifying individual requirements, it is likely that for scalability purposes such individual requests will be aggregated before being forwarded into the Internet backbone. This indeed is the model underlying the recent Diff-Serv standardization effort of the IETF [2, 3, 4], and explicitly outlined in [5, 6]. Edge devices are again the natural place for such a function as illustrated in Figure 1, that describes a likely scenario for deployment of QoS over IP networks. As a result, we expect edge devices to represent a key component in the deployment of QoS capabilities in IP networks. But they also have the potential for becoming a major obstacle, unless QoS enhancements can be introduced incrementally on the large installed base of edge devices.

The goal of this paper is, therefore, to investigate different issues related to the role of edge devices in enabling service differentiation over the Internet, and in particular the feasibility of upgrading existing systems. Our focus is on the mechanisms needed to support QoS in such edge devices, both in terms of their ability to provide specific QoS guarantees and their cost in terms of potential degradation of raw performance. Specifically, while performance requirements typically mandate that support for QoS in backbone devices be achieved through dedicated hardware, this is often not the case for edge devices. Instead, QoS support in those devices is often provided through a software based solution, where the greater path length associated with the additional instructions that QoS functionality require, can affect the raw device throughput. The choice of a software based solution is motivated not only by the low cost point of such devices, but also by the need for flexibility and upgradeability. Indeed, given the major role that edge devices play and the evolving nature of the standards, e.g., Diff-Serv, such characteristics are desirable if not mandatory. We expect these requirements to become more prevalent as the role of the Internet increases, and ubiquitous access is extended to devices that traditionally have not been associated with network connectivity. In many of those instances, the home or small office gateway will need to be capable of relatively sophisticated service

differentiation without becoming a data path bottleneck.

In the paper, we report on our investigation of a software implementation for QoS support in such an edge device. In order to properly assess the cost and capabilities of such software based solutions, the implementation is carried out in the context of a fully operational access router platform which we suitably modify and instrument. The modifications are made with flexibility in mind so that as the standards progress, the implementation can be evolved to accommodate different models for QoS guarantees without significantly affecting its structure. In addition, this also facilitates adaptation of the code base to a range of platforms with slightly different capabilities, e.g., faster processor, larger memory, etc. Another emphasis for the implementation is on minimizing the number of additional instructions required by QoS extensions, so as to introduce the smallest possible performance penalty due to the new functions. The instrumentation we put in place for testing purposes adds minimal overhead above and beyond what is typically required for network management purposes. This allows us to estimate the cost and performance of QoS extensions reasonably accurately. In particular, we are able to compare the raw throughput of our router platform with and without QoS extensions, and as a result verify that the approach we chose avoids any significant penalty because of QoS. In addition, we also establish that basic performance guarantees, e.g., rate guarantees, are met even in the presence of badly misbehaving users.

The results provide some insight and initial evidence of the feasibility of delivering relatively comprehensive QoS guarantees in simple edge devices, with minimal impact on their raw performance. This should help accelerate the deployment of QoS capabilities in IP networks as it implies that they can be made available incrementally, i.e., via a software upgrade, to users of such networks, and with minimal impact on their basic performance. Obviously, other pieces need to fall in place as well, e.g., upgrade of the backbone and other parts of the infrastructure, before end-to-end QoS guarantees fully become a reality, but because of the number of devices impacted, upgrading the network boundary is probably the biggest potential hurdle to ubiquitous QoS. This being said, there are clearly many possible enhancements to the implementation we describe, and in the paper we point several of them out. Unfortunately, we expect some of these enhancements to come at a cost, i.e., a degradation in throughput, but, as yet, we have not been able to assess their magnitude.

The rest of this paper is structured as follows: In Section 2 we outline the overall structure of the system on which our implementation is built, and identify its basic characteristics. Section 3 describes the different services supported in our implementation as well as the components responsible for ensuring them. It also highlights our design goals. In Section 4, we briefly review our test setup and the methodology we use to obtain our performance estimate. Section 5 reports on the results of our tests and measurements and discusses their implications. Finally, Section 6 identifies a a number of remaining issues, either in terms of other functionalities that are needed to enable QoS in edge devices, or in terms of desirable enhancements to our implementation.

2 System Structure Overview

In this section, we briefly describe the overall structure of the router platform on which we have built our implementation, and we also point to some of the constraints it introduced. Some of these constraints are specific to our platform, but several of them are rather generic and likely to be present in many edge device

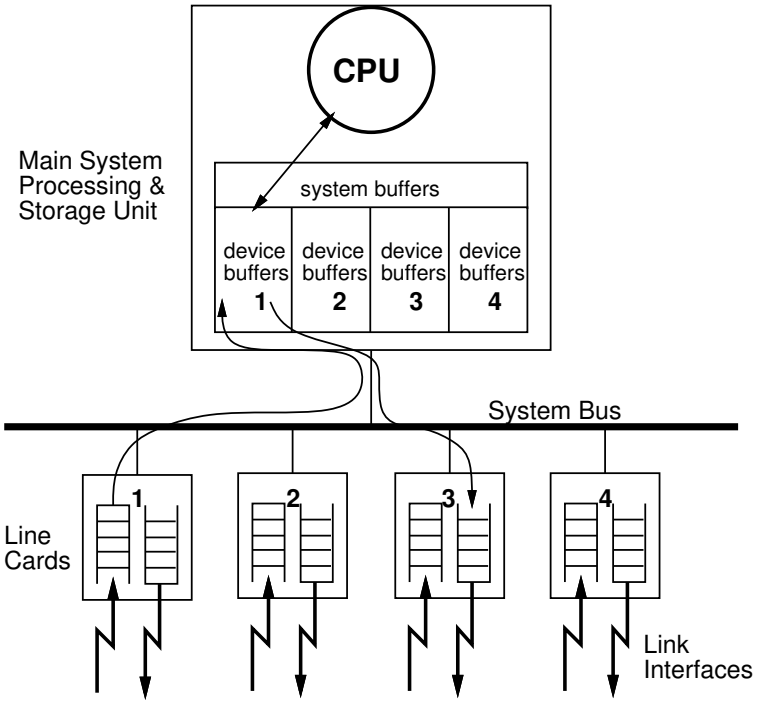


Figure 2: System Architecture Overview.

implementations.

Our edge device has an architecture often seen in access routers and relatively common among first generation routers. It consists of a central processing unit responsible for all packet forwarding and classification functions, to which a number of link adapters are connected. An overview of the system structure is shown in Figure 2. Incoming packets are temporarily stored in buffers on the link adapters, before being transferred across the system bus into the main system memory. Once in system memory, packets are processed and this processing includes both forwarding and classification decisions. The processes of interest in the context of this paper are those associated with classification as this is where service differentiation is enforced. The central processor is also responsible for queueing packets for transmission on the output devices.

The data path followed by a packet is, therefore, as follows: An incoming packet is first received in a buffer in the device associated with the link on which the packet is arriving. Fully received packets are then DMA'ed into system memory, where memory space has been allocated for each input device. It should be noted that for a number of implementation specific reasons that have mostly to do with minimizing the overhead associated with buffer manipulations, packet buffers all have the same size. As a result, memory consumption is sensitive to the number of packets rather than to packet sizes, i.e., many small packets use more memory than a few large ones. This is an important design constraint when it comes to providing service guarantees as it affects how we need to account for an important system resource, namely buffers. In particular, consumption of processing and memory resources need to be accounted for in packets, while bytes/sec is the relevant unit when it comes to controlling bandwidth usage.

Once in system memory, the packet is ready to be processed. The processing performed on a packet

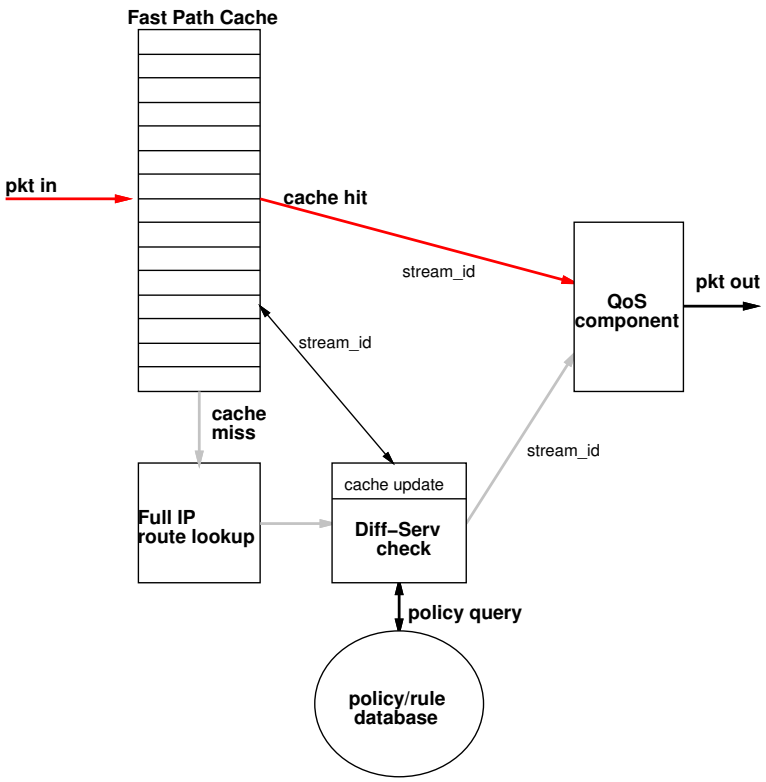


Figure 3: System Structure

consists first of an IP route lookup, that returns the next hop on which the packet needs to be forwarded as well as information needed to construct the appropriate link header for the outgoing packet. In addition to identifying the appropriate next hop for the packet, the processing also includes classification of the packet in order to determine the level of service to which it is entitled. Classification is in itself a potentially complex function that deserves an extensive discussion. It is, however, beyond the scope of this paper whose focus is primarily on the mechanisms used to enforce service differentiation. As a result, we only briefly review the issue of packet classification, and outline the general mechanisms available in our router platform to support it.

Packet classification requires matching a number of attributes specified by the incoming packet, against values whose combination is associated with rules that determine how to handle the packet. The complexity of this matching operation is due in part to the number of attributes to be matched, which typically include source and destination addresses as well as port numbers, protocol type, ingress and egress interfaces, and even possibly additional attributes such as time of day. Furthermore, this problem is further compounded by the fact that matches are usually not against exact values, but rather in terms of ranges. The use of ranges is motivated by scalability considerations, e.g., a rule applies to all addresses in a subnet, but substantially complicates the matching process to be performed for an incoming packet. This is in a sense similar to the problem faced by the longest prefix match of address lookup in an IP routing table. The main differences are in the larger number of attributes that need to be considered in the lookup, and the fact that not only prefixes but also ranges have to be considered.

It is possible to devise algorithms that are capable of performing such generalized lookups with reasonable efficiency, e.g., see [7, 8], but they usually require dedicated support (processor or ASIC) and are unlikely to be feasible in the context of the low end edge devices which we consider. As a result, the approach we rely on for classification in our router follows the traditional cache-based solution used to improve forwarding performance in many routers. In other words, the data path is split between what we term fast path and slow path. For every packet sent on the slow path, a full lookup is performed into a complete rule database and used to create an entry specific to this packet in the fast path cache. Entries in the fast path are checked based on an exact match on packet attributes, so that the lookup can subsequently be performed (for packets from the same flow[1]) using a simple hash table.

There are many design aspects related to ensuring the efficiency of such an approach, but for the purpose of this paper it suffices to know that whether obtained from the fast path cache or the complete rule database that is searched on the slow path, information is retrieved that identifies the appropriate service level of each packet. This information is in the form of a stream_id[2] that is passed as a handle to the QoS component responsible for enforcing service differentiation. The overall structure of the system is shown in Figure 3, and in the rest of this paper we concentrate on the operation and performance of the QoS component.

3 QoS Component and Service Characteristics

The main design criteria behind our QoS components are to minimize any increase in the path length of the main forwarding loop, while at the same time allowing basic service guarantees. The service guarantees we target in our implementation are relatively primitive, and inspired from the base service models currently being defined in the IETF Differentiated Service group [2]. These service guarantees are along the two main dimensions of delay and rate guarantees.

Specifically, we first consider a service that aims at emulating a leased line. Its characteristics are of bounded incoming traffic that needs to be guaranteed a given rate as well as small latency. This is essentially the expedited forwarding (EF) service of Diff-Serv [3], which is akin to the ATM CBR service [9] and shares with it relatively strict performance guarantees, but a rather inflexible service definition, i.e., no or minimal excess traffic. From an implementation perspective, the main advantage of such a service is that it can be supported rather easily. Another family of services which we consider is that of assured services (AS), for which we provide rate guarantees but with much looser delay bounds. The main feature of this service is that the rate guarantee corresponds to a floor guarantee, and a stream is allowed to send at a higher rate and access idle resources to the extent they are available.

In our implementation, both services are supported with the same set of basic mechanisms, but are kept isolated from each other. As mentioned earlier, our main goal is to enable service differentiation with the minimal possible impact on raw forwarding performance. As a result, complex per packet processing operations should be avoided, and this limits our ability to use sophisticated scheduling algorithms to provide per stream rate guarantees. This is not so much because of the complexity of the scheduling algorithm itself, al-

[1] In this paper, we use the notation flow to denote the set of packets associated with a specific combination of attributes used to create a cache entry.

[2] A stream is the unit of resource allocation, and there can be many flows associated with a given stream and having, therefore, the same stream_id.

though this certainly needs to be considered, but mostly because of the cost of the sorting operation required each time a packet is transmitted. This constraint combined with the need to provide delay guarantees to the EF service, led us to a solution where we rely on two separate queues. Expedited Forwarding and Assured Services are each assigned to a separate queue, and the two queues are served using a simple variation of self-clocked fair queueing (SCFQ) [10]. Because we only have two queues, there is no sorting cost associated with identifying the next packet to transmit as this can be achieved through a simple comparison of the transmission times associated with each queue.

The additional overhead associated with scheduling is also minimal as the computations performed to update the scheduler are of low complexity and similar to the self-clocked fair queueing algorithm of [10]. Specifically, the operation of the scheduler arbitrating between the two queues is as follows. Each queue is assigned a scheduling weight or rate, which is based on the fraction of link bandwidth allocated to the service mapped onto it, i.e., R_{EF} and $R_{AS/BE}$ for the Expedited Forwarding and Assured Services (and Best Effort) queues, respectively. The scheduler maintains a system virtual time, T_S, as well as service tags T_{EF} and $T_{AS/BE}$ for each queue, and schedules for transmission a packet from the queue with the smallest service tag. The service tag of a queue is updated each time a packet moves to the head of the queue, i.e., after transmission of the previous packet or when a packet arrives to an empty queue, and it is set to the system virtual time plus the transmission time of the new packet at the rate allocated to the queue. For instance when a packet of size L_k is transmitted from the EF queue, we have $T_{EF} = T_S + L_k/R_{EF}$. The system virtual time T_S is also updated to the service tag of the queue being served each time a new packet transmission starts. The system virtual time is reset to 0 each time both queues are empty. However, in order to avoid overflow of the system virtual time in the case of a heavily loaded system, we also update it when the most significant bit of the smallest service tag is 1. Specifically, whenever this condition is verified, we then set the most significant bit of both service tags and of the system virtual time to 0. This avoids wrap-around problems when a heavy load prevents the system from emptying and, therefore, resetting the virtual system time.

Assigning a separate queue to expedited forwarding streams, should ensure that they see relatively small delays. This is because the streams are rate limited, and the EF queue is guaranteed a service rate higher than the aggregate rate of all incoming streams; queue build-ups are then unlikely to occur under such conditions. As mentioned before, this is a well understood approach to controlling delay, e.g., ATM CBR, and one which has been extensively studied in the context of providing real-time service on packet networks. In this paper, our goal is to verify that it can provide delay differentiation adequate for delay sensitive applications such as IP telephony, even in the context of an edge device which is constrained in terms of the amount of scheduling complexity it can afford.

The second queue is common to all assured service streams as well as network control traffic, and, as indicated above, is also shared[3] with the traditional best effort traffic. This queue is denoted in the rest of this paper as the AS/BE queue. Rate guarantees are provided within that queue using the buffer management method of [11], where a stream is allocated an amount of buffer proportional to the fraction of link bandwidth it is entitled to. The main benefits of this method is that it enables us to provide rate guarantees to individual streams without incurring the complexity of a scheduler. Rate guarantees are provided simply by controlling

[3]The extent of this sharing is described later.

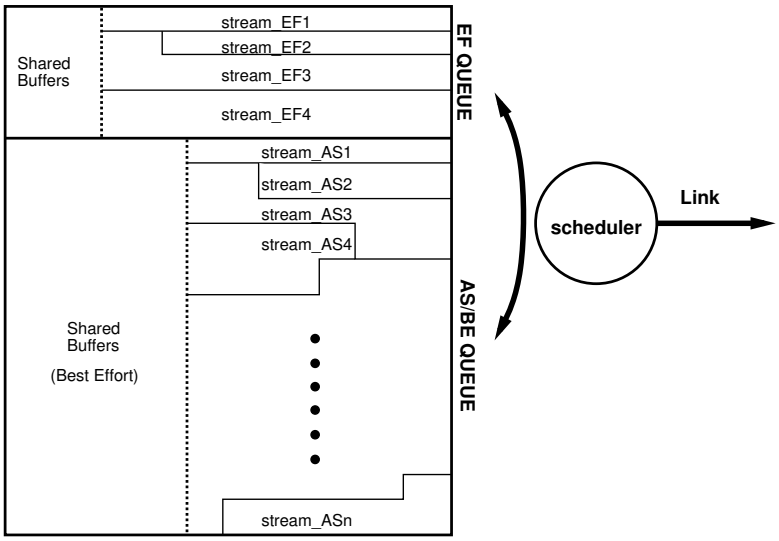


Figure 4: QoS Component for Rate Guarantee and Delay Differentiation.

which packets to accept in the buffer, which is a check which we need to perform in any case[4] and has minimal overhead. The same approach is also used in the EF queue to protect against potential misbehaving streams, and we proceed next to describe in more detail the operation of our buffer management mechanism.

3.1 Rate Guarantees Through Buffer Management

Each egress interface is allocated (logically as this is only for accounting purposes) a maximum number of system buffers that can be queued on this interface. This buffer pool is then divided into two separate sub-pools; one for the EF queue and one for the AS/BE queue. We denote their sizes are $\mathcal{B}_{EF}$ and $\mathcal{B}_{AS/BE}$. This separation further isolates the two queues, and is also motivated because buffer sharing between the two queues would have to be controlled to avoid affecting rate guarantees. Rate guarantees are provided to individual streams within each queue by allocating a specific amount of buffer for use by the stream. For example, the amount of buffer B_k to be allocated to assured service stream k to ensure it a minimum rate guarantee of r_k is:

$$B_k = \mathcal{B}_{AS/BE} \times \frac{r_k}{R_{AS/BE}} \tag{1}$$

The main intuition behind the above allocation is that transmission opportunities and, therefore, rate guarantees are in proportion to the buffer space occupied by a flow. In other words, if a flow consistently occupies a certain fraction of the buffer space, it will then get a corresponding fraction of the transmission opportunities, and hence of the link bandwidth (see [11] for a rigorous justification of this argument).

Based on the above allocation, the decision to admit or reject a new packet from stream k is a function of the current buffer occupancy b_k of its stream, and how it compares to its buffer allocation B_k. If $b_k \leq B_k$ then the packet is admitted, enqueued for transmission in the corresponding queue (packet transmissions are FIFO within both the EF and AS/BE queues), and the buffer occupancy b_k is incremented by the length L_j of

[4] As illustrated in [11], scheduling without buffer management has little or no effect.

the packet. If on the other hand $b_k > B_k$, stream k is already using more than its share of buffers. Therefore, accepting the new packet should only be done if it does not affect other streams sharing its queue. This check is based on two criteria. First and foremost, accepting the packet should not impact the rate guarantees of other streams. Second, excess resources should be distributed "fairly" across competing streams.

In order to realize these two goals, the buffer pool associated with each queue is logically partitioned into allocated buffers and shared buffers. Allocated buffers correspond to the sum of the amount of buffers allocated to all streams in the queue, while shared buffer represent the remainder obtained by subtracting this amount from the total buffer pool allocated to the queue. Excess packets can only be accommodated through shared buffers. Furthermore, to ensure fairness in the usage of shared buffers, we use the "holes" method of [11] which is based on [12]. With this approach, an excess packet is accepted only if the resulting number of shared buffers occupied by its stream does not exceed the current number of remaining free shared buffers. If the packet is accepted, the buffer occupancy of the stream is incremented and the shared buffer count is decremented. Buffer counts are also updated at packet transmission times, where the buffer occupancy of the associated stream is decremented by the packet length and the shared buffer count is incremented, if the stream had a buffer occupancy above its allocation. This last update ensures that buffers are preferentially released to the shared buffer pool. The overall structure of the QoS component is shown in Figure 4, which also shows that in the current implementation the BE traffic is only allowed to access shared buffers. This is the configuration we assume in our experiments, but it could easily be modified to also provide BE packets with a minimum rate guarantee.

For the sake of clarity, the above discussion glossed over a number of details related to the exact update of buffer counts as well as the impact of discrepancies between byte counts and packet/buffer counts. In particular, rate guarantees based on buffer allocation require that buffer accounting be based on the number of bytes that a stream currently has waiting for transmission. This is because bytes are the units of relevance when it comes to consumption of link bandwidth. On the other hand, as was mentioned earlier, implementation limitations in our system impose a fixed buffer size independent of packet size. This introduces some additional problems when it comes to accounting for the amount of buffer allocated and used by a stream.

Specifically, an allocation and accounting that assumes that each buffer corresponds to its byte equivalent in terms of available storage space, can be overly optimistic. In particular, it allows rate-wise conformant streams to grab an excessive number of packet buffers, if they only transmit small packets. This will in turn deplete the buffer pool, so that packet buffers are unavailable to other conformant streams. Alternatively, assuming a worst case scenario where each buffer is only used to store a minimal size packet, is overly pessimistic. It would result in rejecting requests for rate guarantees because of what would be (incorrectly) perceived as insufficient buffer space. There is no ideal solution to this problem, as it requires identifying an appropriate trade-off between the distribution of packet sizes and the corresponding consumption of packet buffers.

In our implementation, we address this issue through the specification of a configurable parameter (BUFF_SIZE), that defines the size, byte-wise, that we assign for accounting purpose to a packet buffer. This parameter is used to translate the packet buffer pool allocated to each queue, into a corresponding byte count on which the buffer computations for rate guarantees, i.e., equation (1), can be based. In addition, BUFF_SIZE is the minimum packet size as far as buffer accounting is concerned, i.e., packets that are smaller than BUFF_SIZE are counted as being of size BUFF_SIZE. This is an approach similar to the one

used in the Integrated-Service model [13], which allows the specification of a "minimum policed unit" to account for possible per-packet overhead.

For example, in our implementation this means that if the AS/BE queue on an interface is allocated 50 packet buffers, it is considered as having a buffer capacity of $50 \times$ BUFF_SIZE. As a result, if stream k asks for a rate guarantee r_k equal to, say, 20% of the bandwidth allocated to the AS/BE queue, its buffer allocation B_k is then set to $B_k = 10 \times$ BUFF_SIZE. Given that the packets of stream k are accounted as being of size BUFF_SIZE or larger, we are therefore limiting stream k to an allocation of at most 10 packet buffers. This means that stream k will be guaranteed a minimum transmission rate of r_k only if sends packets of size BUFF_SIZE or more, and could experience some performance degradation if it transmits many packets of size less than BUFF_SIZE. In Section 5, we experiment with the sensitivity of our implementation to different values of BUFF_SIZE.

Finally, it should be noted that special care must also be taken when updating the shared buffer count, as there are instances where only a fraction of a packet needs to be accounted against it. This happens when the buffer occupancy of a stream is near its buffer allocation, so that adding (removing) a packet requires debiting (crediting) the shared buffer count only by the amount needed to complement what is provided from the allocated buffer space. For example, a stream with a buffer allocation of 4.5 kbytes, a current buffer occupancy of 4 kbytes, and a new arriving packet of size 1.0 kbytes, only needs to borrow 0.5 kbytes from the shared buffer space to accommodate the new packet. Conversely, if the same stream had a current buffer occupancy of 4.6 kbytes and completed transmission of a 1 kbytes packet, only 100 bytes should be credited to the shared buffer count.

4 Testbed Setup and Experiments

In this section, we briefly describe the setup we use to test our implementation of the service differentiation capabilities outlined in the previous section. Our test setup is shown in Figure 5, and consists of a number of routers (`Tim`, `McKinley`, `Rocky`, `Himalayas`, and `Alps`) interconnected by means of E1 (≈ 2 Mbits/sec) links running the PPP protocol. The routers we use are IBM 2210 (`Tim`, `McKinley`, and `Alps`) and 2216 (`Rocky` and `Himalayas`) models as indicated on the figure, which are running a modified version of their forwarding code that incorporates our QoS extensions. The main reasons for using these platforms are not only because of the availability of their forwarding code, but more important because they are representative of many edge devices currently in use in IP networks, i.e., they have a structure similar to that of Figure 2. One of our goals being to demonstrate that such edge devices can be easily upgraded to support QoS capabilities, it is therefore important that we validate our claims in a realistic setting.

Testing of service guarantees is carried out using a number of FreeBSD end-systems attached to our network, which we use as traffic sources (`Sea`, `Sky`, and `Land`) and sinks (`Desert`). Traffic is generated by running MGEN ver. 3.0 [14] on our end-systems, with different configuration parameters so as to exercise a range of load and traffic patterns. In our test cases, we use the PERIODIC and POISSON settings of MGEN to generate streams of packets, where packet arrivals are either periodic or follow a Poisson distribution (see [14] for details). Periodic arrivals provide a "cleaner" estimate of our ability to give rate guarantees, i.e., they provide a more stable comparison basis, and may be representative of some real-time streams. On the other hand, the traffic patterns generated using the POISSON setting of MGEN may be somewhat more

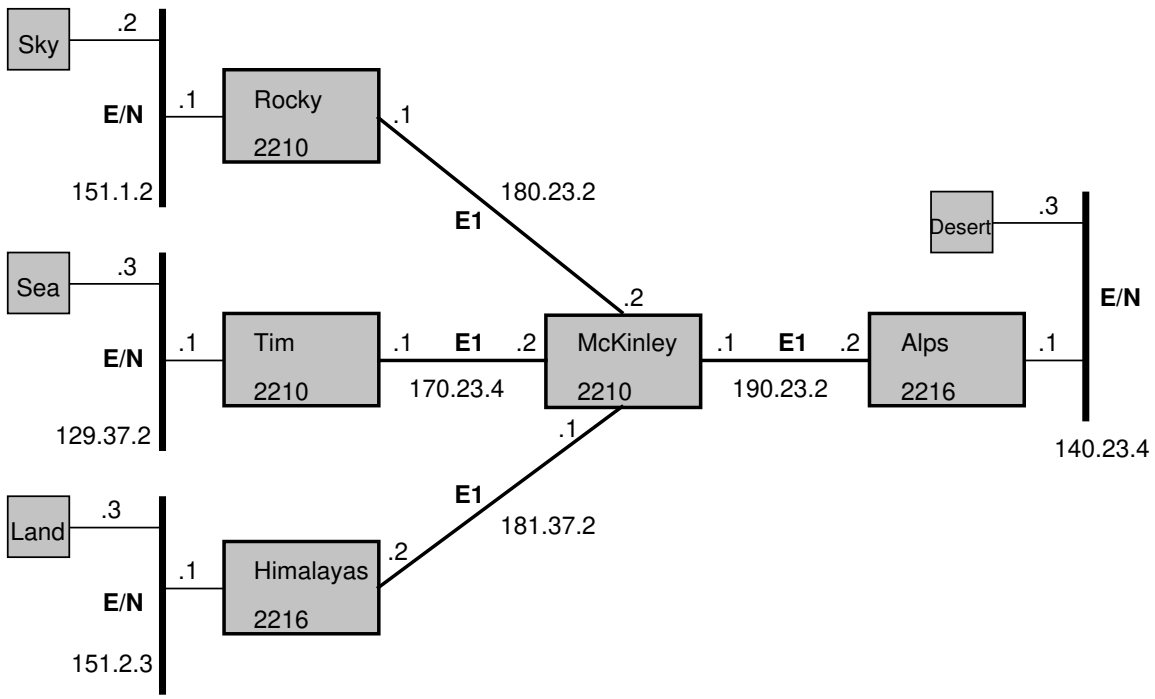


Figure 5: Experimental Testbed.

representative of real traffic.

Measurements to test our QoS guarantees are performed on the PPP E1 link between `McKinley` and `Alps`, i.e., we test the ability of our QoS enhanced forwarding code to enforce service differentiation on interface `190.23.2.1` on `McKinley`. We describe below the series of test cases we use, which all rely on generating a combination of BE, AS, and EF streams from our three traffic sources, and having them converge on `McKinley`'s egress interface `190.23.2.1`. The end system `Desert` serves as a common traffic sink for all streams, and is used to obtain various performance estimates, e.g., throughput and end-to-end delay, for the different streams. In particular, delay estimates are obtained after synchronizing the clocks on the four end-systems using NTP ver. 3 [15]. The end-system `Desert` runs an NTP server to which the clocks of the other three end-systems are synchronized. Note that achieving reasonably accurate estimates of the drifts between the different clocks requires leaving the system in operation for extended periods of time (over 24 hours), but as a result we were able to obtain delay estimates that are accurate to within 0.5 msec.

4.1 Test Cases

The test cases we run aim at assessing how well our implementation meets the design goals stated earlier, i.e.,

- Minimize overhead associated with QoS guarantees,
- Ensure basic rate guarantees and service isolation, and
- Provide some level of delay differentiation.

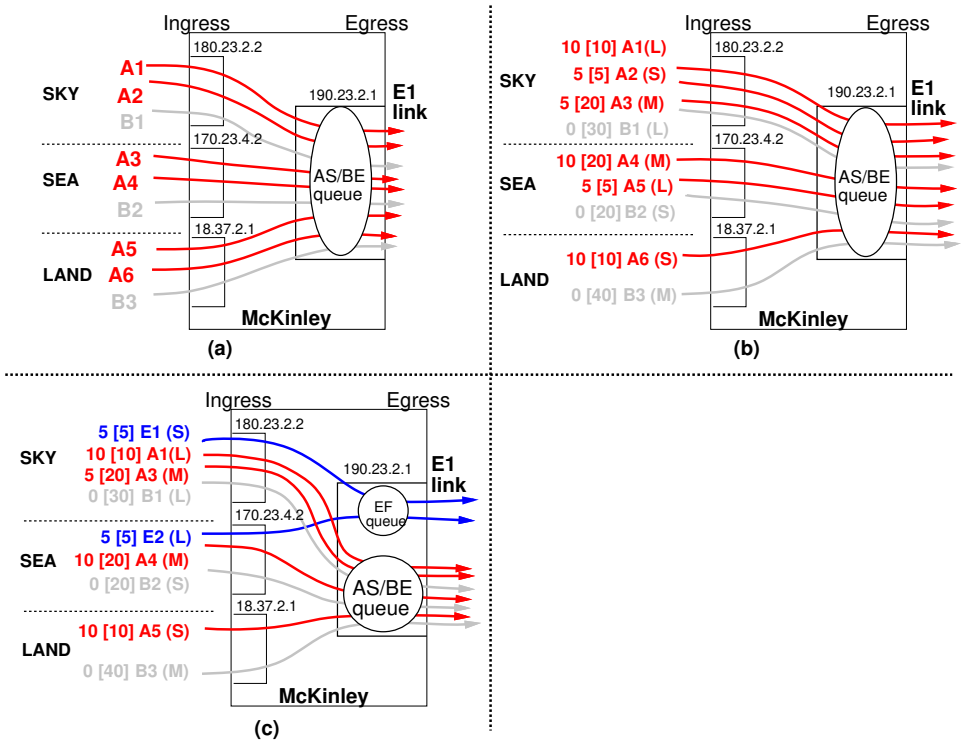


Figure 6: Test Cases.

In addition, we also measure sensitivity to several other system parameters such as the total number of packet buffers available, and the value chosen for the parameter BUFF_SIZE discussed in Section 3. Figure 6 shows the different test scenario considered, with the streams generated from our traffic sources arriving on the three ingress interfaces at `McKinley` and headed to the common egress interface `192.23.2.1`. In all test configurations, the letter B is used to indicate BE streams, A is for AS streams, and E is for EF streams. Associated with each stream are two numbers: The first gives the rate guarantee, if any, for the stream, while the second number, in brackets, specifies the actual amount of traffic that the flow generates. The numbers are given in percentage of the egress link bandwidth.

Our first test scenario is intended to evaluate the relative overhead introduced by our QoS extensions, i.e., the associated degradation in raw throughput. This is accomplished by comparing the packets per second throughput of our test router, `McKinley`, with two versions of its forwarding code. The first one is the standard version that supports only best-effort forwarding, while the second includes our extensions for service differentiation. In order to fully exercise the service differentiation code, we load the router with a combination of Assured Service and Best Effort streams as shown in Figure 6(a). As can be seen on the figure, each ingress interface on `McKinley` receives one BE stream and two AS streams, that are all destined to the same egress interface connected to the E1 link between `McKinley` and `Alps`. The amount of reserved traffic (AS streams) is set to be approximately equal to the amount of BE traffic. Specifically, all the AS streams have an identical reservation of 160 kbps and send traffic in conformance to their reservation. In addition, in order to stress the processing capability of the router and avoid being bandwidth limited, all streams use relatively small packet sizes, i.e., 100 bytes. In the testing of the QoS enhanced code, the system

parameter BUFF_SIZE is set to 100 bytes, which matches the length of the packets being generated. This is done to avoid introducing additional interferences when trying to estimate the throughput impact of the QoS extensions.

The next series of tests uses the same base scenario, shown in Figures 6(b) and 6(c), while varying other system parameters. The scenario includes a mixture of streams with and without reservations, and whose performance will be measured under different conditions. Streams differ in terms of their packet sizes, the traffic they generate, and their reservation, if any. In the first case of Figure 6(b), all the streams with reservations are of type AS, so that we will not be testing the ability of the scheduler and the EF queue to provide improved delay performance. Instead, the focus of our first set of experiments is on assessing the influence on both throughput and service guarantees, of the parameter BUFF_SIZE for streams with different packet sizes. This is accomplished by varying the value of BUFF_SIZE and observing its impact on the throughput of both AS and BE streams with different packet sizes. As indicated in the figure, we use streams with three different packet sizes: 1000 bytes (L), 500 bytes (M), and 200 bytes (S).

Our next test uses again the scenario and streams of Figure 6(b), but this time varies the size of the packet buffer pool on interface `190.23.2.1`. This value is a configurable parameter in our router, i.e., we can allocate a specific portion of the system memory to any given interface, and as pointed out in [11] may affect our ability to deliver rate guarantees through buffer management. The test is performed assuming a value of BUFF_SIZE$=500$ bytes, which was found to be a reasonable choice in the previous experiment.

These last two tests also provide useful information on how successful our simple buffer management mechanism is at ensuring rate guarantees and redistributing excess resources across different streams. The discussions of Section 5 highlight these issues, and describe the behavior of our system when both PERIODIC and POISSON settings are used by MGEN to generate various traffic patterns.

Our last test is intended to verify that using a separate queue for the EF traffic is an adequate mechanism for providing EF flows with improved delay guarantees. In order to assess if this is indeed the case, we modify the scenario of Figure 6(b) and move two of the AS flows to the EF queue. We then measure any improvement in delay that they see. This new configuration is illustrated in Figure 6(c). Note that in agreement with our earlier assumption regarding EF flows, the two AS flows (A2 and A5) moved to the EF queue are conformant, i.e., the traffic they generate conforms to the rate they have reserved.

5 Experimental Results

In this section, we report on the measurement results for the test cases described in the previous section, and discuss their implications and the conclusions we can draw from them. It should be noted that the throughput results we provide are those generated by MGEN, which do not account for the per packet overhead associated with transport and network headers. On the other hand, reservations for rate guarantees in the network include both IP and UDP headers. This should, therefore, be taken into consideration when assessing if rate guarantees are met. This is especially true for streams with small packets as with a packet size of 100 bytes, a 20 bytes IP header is not negligible.

Flows	Snt (pps)	Rcv (pps)	Rcv+QoS (pps)	Rcv (kbps)	Rcv+QoS (kbps)
B1	300.0	277.6	233.5	222.1	186.8
A1	200.0	154.0	197.1	123.2	157.7
A2	200.0	152.8	199.6	122.2	159.7
B2	300.0	253.0	225.8	202.4	180.7
A3	200.0	148.0	199.4	118.4	159.5
A4	200.0	160.3	199.9	128.3	159.9
B3	300.0	292.9	176.5	234.3	141.2
A5	200.0	194.1	199.4	155.3	159.5
A6	200.0	193.9	195.1	155.1	156.1
Total	2100.0	1827.1	1826.0	1461.7	1460.9

Table 1: Throughput Comparison between Standard and QoS Enhanced Forwarding Code.

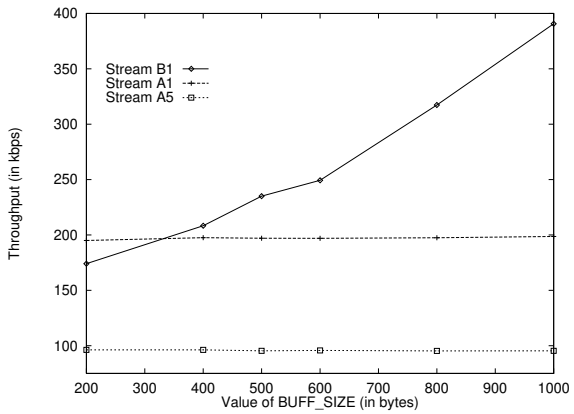
5.1 Throughput Comparison

The first experiment evaluates the magnitude of any degradation in raw forwarding throughput, due to the slightly greater path length of the QoS enabled forwarding code. The experiment was run using the scenario of Figure 6(a) and the results are shown in Table 1, which gives the throughput in packets/sec of the standard and QoS enabled forwarding code. The table gives both the aggregate throughput and the throughput of each individual flow, as well as the number of packets sent by each traffic source. A simple comparison of the number of packets sent and received confirms that in all cases, the router is saturated while the link is not. This verifies that the results allow us to estimate any loss in forwarding performance due to our QoS enhancements. Note that the throughput numbers are given in both packets per seconds (pps) and kilobits/sec (kbps)[5].

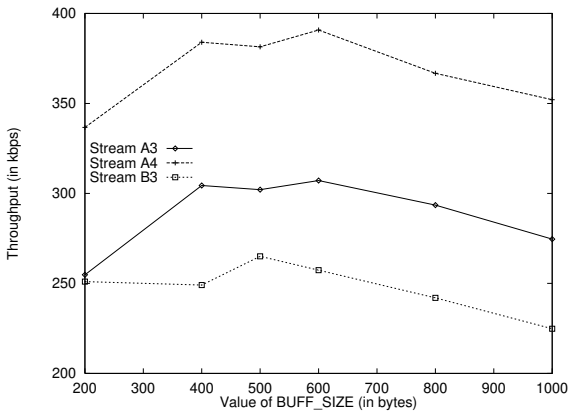
The main conclusion from the results is that the impact of the slightly greater path length of the QoS code is negligible. This is not unexpected as significant effort was spent in both the design and the implementation, to keep the overhead of QoS to the minimum possible. In addition, there are many other components besides the forwarding code, that typically contribute to limiting the raw throughput through a box. In particular, the device driver code that is responsible for pushing packets out on the links, is often a major fraction of the total path length. As a result, while the greater path length of the QoS code may translate into a small absolute increase in the packet processing time, the relative magnitude of this increase compared to the total processing time is not noticeable. We expect that a similar conclusion will hold for most of today's edge routers.

Another initial conclusion we can draw from the numbers in Table 1, is that the QoS enhancements appear to be successful at protecting the streams with reservations (recall that all reservations were for 160kbps). This is an aspect which we explore further with our next set of experiments.

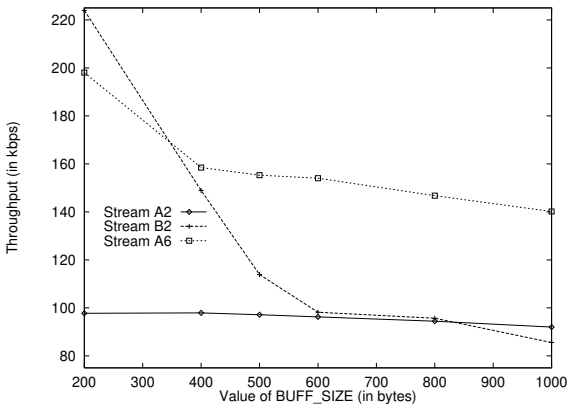
[5]Recall that the throughput numbers in kbps account only for the payload carried in the packets. Raw throughputs are about 20% higher given the 100 bytes packets used.



(a) Streams with large packets



(b) Streams with medium packets



(c) Streams with small packets

Figure 7: Sensitivity of Throughput and Rate Guarantees to BUFF_SIZE.

5.2 Sensitivity to System Parameters

The previous section provided some evidence, that the mechanisms introduced to enforce different levels of service guarantees are effective at achieving their goal. However, the results were obtained under relatively mild congestion and, furthermore, with settings of various parameters, e.g., BUFF_SIZE, that were well matched to the characteristics (packet size) of the traffic being generated. As a result, it is important to confirm those findings under a broader range of conditions. As discussed before, this is the purpose of the scenario shown in Figure 6(b).

5.2.1 Sensitivity to BUFF_SIZE

Our first test is targeted at estimating the sensitivity to the system parameter BUFF_SIZE, that is used to translate packet buffers into equivalent number of bytes. Because packets smaller than BUFF_SIZE are

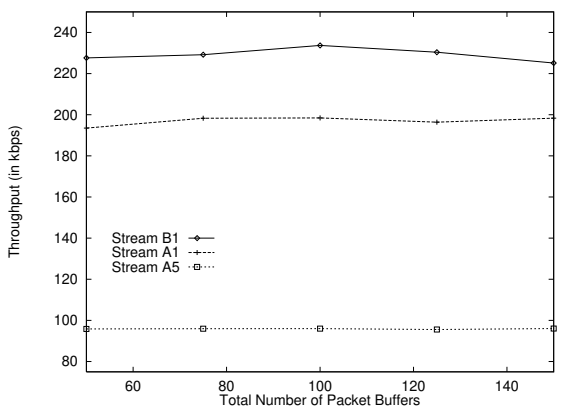
assumed to be of size BUFF_SIZE, we expect that the value used for this parameter will affect differently flows with different packet sizes. In order to test this, we run the scenario of Figure 6(b) for values of BUFF_SIZE of $200, 400, 500, 600, 800,$ and 1000 bytes, and the results are reported in Figure 7 for each type of streams, i.e., streams with large (1000 bytes), medium (500 bytes), and small (200 bytes) packets.

AS streams A1 and A5, which have large packets, are found to exhibit little sensitivity to changes in the value of BUFF_SIZE (see Figure 7(a)). This is because both are conformant to their reservation, and remain so independent of the value of BUFF_SIZE, since their packets are never counted as being larger than they really are. For flows with large packets, the main disadvantage of small values of BUFF_SIZE, is that the total shared buffer space appears smaller than it really is, and as a result smaller bursts and/or amounts of excess traffic can be accommodated. Flows A1 and A5 being conformant are not really affected by this. On the other hand, the best effort flow B1 sees a substantial improvement as BUFF_SIZE increases. This increase is caused by the corresponding increase in shared buffers, which are the only buffers that flow B1 can access.

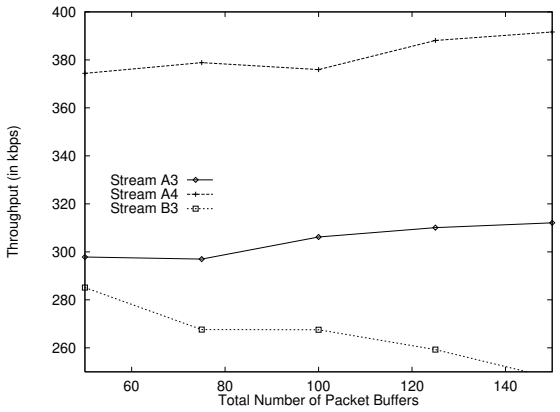
Figure 7(b) reports similar results for streams with medium size packets. However, the conclusions are somewhat different from those for streams with large packet sizes. In particular, we now observe greater sensitivity of the AS flows to the value of BUFF_SIZE. In particular, we observe variations in throughput after BUFF_SIZE becomes larger than the packet size of the streams, i.e., when it exceeds 500 bytes. We observe this behavior for streams A3 and A4, which experience a slight decrease in throughput after BUFF_SIZE increases beyond 500 bytes. This is because their packets are now being counted as being larger than what they are, and this limits their ability to access shared buffers. Note that because both streams generate substantial amounts of excess traffic, they do rely on shared buffers and actually achieve throughputs well in excess of their reservations, which are 100kbps and 200kbps, respectively. Both streams also experience an increase in throughput when BUFF_SIZE first increases from 200 to 400 bytes. This is because such an increase translates into a larger shared buffer pool, which allows more of the flows excess traffic to get through. The best effort stream B3 sees a similar trend in throughput variations, but somewhat less pronounced because it can only access the shared buffers.

Finally, Figure 7(c) shows the impact of varying BUFF_SIZE for streams with small packets. As is expected, such streams get rapidly penalized as BUFF_SIZE increases since all their packets are counted as being much larger than they are. As a result, streams that are conformant now appear non-conformant, and must rely on shared buffers for many of their packets. This penalty is somewhat compensated by the fact that increasing BUFF_SIZE correspondingly increases the amount of shared buffers. However, as can be seen from Figure 7(c), this is not sufficient to offset the accounting penalty that small packets incur. This penalty is more severe the higher the initial reservation, as the stream needs to gain access to a correspondingly larger number of shared buffers in order to maintain its throughput. This is why stream A2, which has a reservation of only 100kbps, sees a smaller degradation than stream A6 which has a reservation twice as large.

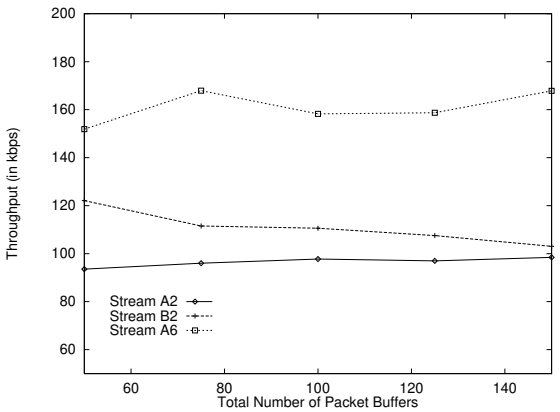
The main conclusion from this section, is that the setting of BUFF_SIZE needs to be taken into consideration when making reservations. Relatively large values, e.g., over 500 bytes appear preferable overall, and are also desirable in order to accommodate a reasonable number of reservations. However, sufficient shared buffers should be kept aside in order to ensure that streams with small packets are not overly penalized.



(a) Streams with large packets



(b) Streams with medium packets



(c) Streams with small packets

Figure 8: Sensitivity of Throughput and Rate Guarantees to Number of Packet Buffers.

5.2.2 Sensitivity to The Total Buffer Size

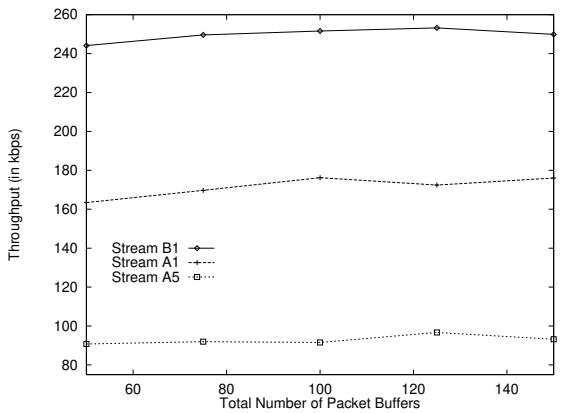
The other system parameter that is likely to affect performance is the the total number of packet buffers available on an interface, and in order to assess its impact we conduct a set experiments similar to those of the previous section. The results are given in Figure 8, where the total number of packet buffers available is varied from 50 to 150 packet buffers. The results are again plotted separately for streams with large, medium, and small packets. A value of BUFF_SIZE equal to 500 bytes was assumed for all the measurements.

Overall, we see that while there is minimal sensitivity to the total amount of buffers, and that variations are relatively contained with only small differences for streams with different packet sizes. Streams with small and medium size packets still see slightly larger variations than those with large packet sizes, but they are hardly noticeable. Note that all reserved streams essentially have throughputs at or above their reservations. Even stream A6 which is penalized by its small packets (each 100 bytes packet is counted as being of size BUFF_SIZE$=500$ bytes) and relatively large reservation (200kbps), gets close to its reservation after accounting for the $20+$ bytes header overhead, which is not included in the throughput numbers of Figure 8(c).

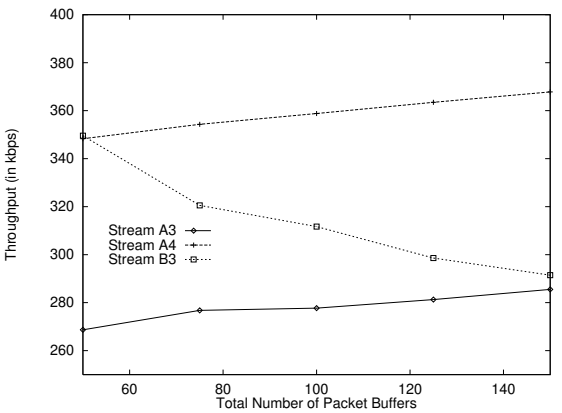
If anything, the results of Figure 8 show small improvements for streams with small and medium packet sizes as the total number of packet buffers increase. This is because a greater number of packet buffers, typically means a slightly larger amount of shared buffers, which streams with small and medium size packets can more readily benefit from. However, it should be noted that an increase in the total buffer space does not correspond to an equal increase in shared buffers. This is because reserved buffers need to be allocated in *proportion* to the total buffer space. As a result, increasing the total buffer count scales all buffer reservations accordingly, so that relative allocations remain essentially the same. This is why performance remains reasonably constant for streams with reservations. Best effort streams see a slight decrease in throughput, especially for small and medium packet sizes, as the total buffer count increases. This decrease matches the corresponding minor increase that reserved streams see. It is caused by the fact that although the shared buffer space increases, the larger reserved buffers combined with the (holes) accounting method we use to control access to shared buffers, translates into slightly improved chances for reserved streams to access shared buffers.

One dimension, where we would expect to see some benefits of increasing the total buffer count, is in terms of handling some amount of burstiness in the streams. In order to estimate this sensitivity, we repeat the previous tests using the POISSON setting of MGEN instead of the PERIODIC one. In other words, we use the same packet arrival rates, but the inter-arrival times are now exponentially distributed instead of being constant. The value of BUFF_SIZE is maintained at 500 bytes for these experiments as well. The corresponding results are shown in Figure 9. The results confirm that for flows with small and medium sized packets, there is some benefit to increasing the total buffer size. For flows with large packets, there does not seem to be any significant improvement in throughput. This is probably attributable to the fact that the larger packet size also implies larger bursts, and there may still not be enough shared buffer left to accommodate these larger bursts.

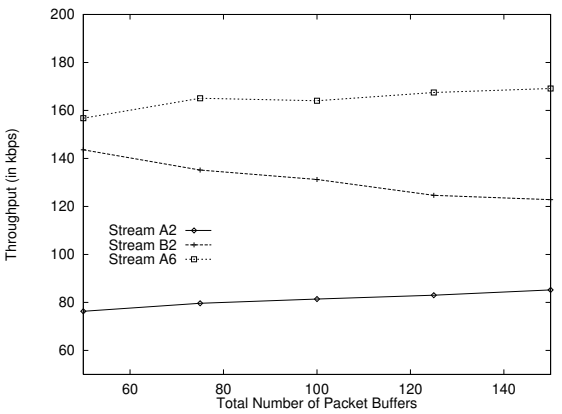
The main conclusion from the above series of tests is that some reasonable amount of shared buffers needs to be available in order to provide rate guarantees to bursty flows. This is not unexpected and is a phenomenon that has been documented in numerous traffic management studies. The buffer management schemes on which we rely can be easily extended to also account for burstiness (see [11] for details), and we



(a) Streams with large packets



(b) Streams with medium packets



(c) Streams with small packets

Figure 9: Throughput and Rate Guarantees for POISSON Arrivals With Varying Amounts of Total Buffer Space.

plan on adding this capability in the next release. However, such an extension comes at the price of reserving substantially larger amounts of buffer, and we want to first experiment further with the use of shared buffers as the base mechanism for handling burstiness. Additionally, larger buffers potentially result in larger delays which can be quite significant for low-speed links.

Another aspect that Figure 8 illustrates, is that the scheme we use to control access to shared buffers is reasonably successful at ensuring fair access to the unreserved link bandwidth. Specifically, the total level of reservations from flows A1 to A6 is 900kbps, which implies that there is 1,100 kbps of unreserved bandwidth. There are 5 streams that generate excess traffic, namely streams A3 and A4 as well as the best effort streams B1, B2, and B3. As a result, we would expect each of them to get about 220 kbps of the unreserved bandwidth. From Figure 8(a), we see that flow B1 does indeed get a little over 220 kbps. Similarly, Figure 8(b) shows that flow A3 gets close to 320 kbps (for large number of packet buffers) instead of its reservation of only 100 kbps, and flow A4 gets nearly 400 kbps while it only reserved 200 kbps. Similarly, flow B3 ends up getting close to 220 kbps (again for large buffers). The situation is a little bit different for flows with small packets because of the impact of BUFF_SIZE, which is set to 500 bytes. This means that the buffer accounting for those flows is as if they were transmitting at 2.5 times their actual rate (recall that they use 200 bytes packets). If we account for this overhead, then we see from Figure 8(c) that the approximately 100 kbps that flow B2 gets, actually correspond to about 250 kbps. Again, this is reasonably close to our target of 220 kbps.

We can, therefore, conclude that our buffer allocation and sharing mechanism is successful at providing rate guarantees as well as ensuring fair access to excess bandwidth. However, based on the measurement results of Figure 8, it appears that having a reasonably large number of packet buffers is useful when it comes to enforcing fairness.

5.3 Delay Guarantees

Our last experiment is aimed at verifying our ability to provide better delay to EF streams. For that purpose, we take two of the conformant streams of Figure 6(b), streams A2 and A5, and move them to the EF queue as shown in Figure 6(c) (now flows E1 and E2). We then compare the delays experienced by the two streams in each configuration. A value of BUFF_SIZE$= 500$ bytes was used together with a total of 100 packet buffers. The results are reported in Table 2, which shows that the use of the EF queue succeeds at significantly reducing the delays (by a factor of more than 3). Interestingly, stream A2 which had a worse delay than stream A5 in the AS/BE queue, has a better delay when the two flows are moved to the EF queue. This is likely because of the smaller packet size of stream A2. In the AS/BE queue, this benefit is not significant as it is multiplexed with many other streams. However, the difference in packet sizes becomes apparent in the EF queue that only flows A2 and A5 use.

Flow	Delay in AS queue (msec)	Delay in EF queue (msec)
A2	180 msec	44 msec
A5	169 msec	49 msec

Table 2: Delay Comparison Between AS and EF Queues.

It should be noted that the numbers reported in the table are for end-to-end delays between the source and destination end systems. As a result, they include additional contributors than the router `McKinley`, that we use to provide service differentiation. Overall, while the delays we see for EF streams are not small, we believe that they are reasonable given the relatively low speed of the link we used (2Mbps), and they should be adequate for real-time applications.

6 Summary

In this paper we have described a *lightweight* software implementation for offering some basic service differentiation capabilities in the context of simple edge devices. As the role and functionality of the Internet grows, we expect such devices to become major building blocks in the deployment of and access to those new functions. However, because of the continuously changing nature of the Internet and the new functions if offers, it is key that edge devices preserve their ability to evolve. As a result, we believe that a software based implementation provides the right platform for such devices. Our goal was, therefore, to investigate the ability of such a platform to offer support for service differentiation and QoS guarantees.

We carried this investigation on a real router platform, where we developed and tested a set of enhancements aimed at providing rate guarantees and delay differentiation. These two types of service guarantees were chosen based on the general direction of the service proposals currently being discussed in the IETF Diff-Serv Working Group. The measurements we performed on our implementation showed that support for basic QoS guarantees could be achieved in edge devices with minimal impact on overall performance. In addition, we showed that the buffer management approach of [11] was indeed capable of providing reasonably accurate rate guarantees and fair distribution of excess resources. We also verified that a simple design based on two queues and a rudimentary SCFQ scheduler, was able to provide delay differentiation that should be adequate to meet the requirements of most real-time applications. There are clearly many aspects and behaviors of our implementation that require further investigation. However, we believe that these initial results provide strong evidences that support for QoS guarantees can be incrementally deployed on most existing edge devices, and with a minimal impact on performance. We hope that such evidences can foster the rapid deployment of QoS capabilities in the Internet.

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